ATTORNEY 'S DOCKET NUMBER 8074-7 (S1656 GC/rfu) TRANSMITTAL LETTER TO THE UNITED STATES 10/031058 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 13 July 2000 PCT/DE00/02296 15 July 1999 TITLE OF INVENTION BROADBAND NETWORK ACCESS DEVICE FOR THE TRANSMISSION OF VOICE AND DATA APPLICANT(S) FOR DO/EO/US Christian Panis, Christian Schranz, Herbert Zojer, Manfred Preitnegger Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). | has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. X An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11.[X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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page 1 of 2

13. X A FIRST preliminary amendment.

A substitute specification.

Other items or information:

A SECOND or SUBSEQUENT preliminary amendment.

A second copy of the published international application under 35 U.S.C. 154(d)(4).

A change of power of attorney and/or address letter.

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21. The follow	ing fees are submitted:			CA	LCULATIONS	PTO USE ONLY		
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TOTAL NATIONAL FEE =			\$	1,020.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	0			
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East Meadow, New York 11554 NAME								
(516) 357-0091 43,584								
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## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Christian Panis, Christian Schranz, Herbert Zojer, Manfred Preitnegger

International Application No.: PCT/DE00/02296

**International Filing Date:** 13 July 2000

**Priority Date Claimed:** 15 July 1999

U.S. Serial No.: unassigned

Group Art Unit: unassigned

Docket: 8074-7 (S1656 GC/rfu)

FOR: BROADBAND NETWORK ACCESS DEVICE FOR THE

TRANSMISSION OF VOICE AND DATA

Assistant Commissioner for Patents BOX PCT Washington, D.C. 20231

### PRELIMINARY AMENDMENT

Prior to examination on the merits of the above-identified International Application in the United States National Phase, please amend the above-identified application as follows:

### CERTIFICATE OF MAILING 37 C.F.R. § 1.10

I hereby certify that this Preliminary Amendment is being deposited with the United States Postal Service on this date of January 15, 2002 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number <u>EL922712206US</u> addressed to "Assistant Commissioner for Patents, BOX PCT, Washington, D.C. 20231.

Date: 1/15/02

FRANK V. DEROSA

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## IN THE CLAIMS:

Please cancel claims 1-9 as originally filed in the International Application without prejudice.

# Please add the following New claims:

10. (New) A broadband network access device for the transmission of voice and data, comprising:

one or more broadband subscriber line interface circuits, parallel with one another, for connecting to analog telephone subscriber lines;

a splitting device which splits narrowband, low-frequency voice signals and broadband, higher-frequency data signals from one another in both directions of transmission and converts the voice and data signals into a digital signal in the direction of transmission to a network by sampling, and in the opposite direction of transmission into an analog signal;

a digital voice signal processor for processing the voice signal data, which is connected to a digital voice network;

a digital data signal processor for processing the data signal data, which is connected to a digital data network;

wherein the voice signals are sampled in the splitting device in the direction of transmission to the digital voice network with a multiple of a data clock base, and subsequently decimated, and the data, decimated to the voice clock, is transmitted to the digital voice signal processor in a data clock pattern which is multiple of the data clock base; and

wherein the conversion to a voice data pattern, which is a multiple of a voice clock base, is carried out in a synchronization interface module upstream of the digital voice signal processor which is provided for processing the voice data; and

a phase locked loop that is supplied with a multiple of the voice clock base and generates a signal with a frequency that is a multiple of the data clock base on which the data sampling is based.

- 11. (New) The broadband network access device of claim 10, wherein the synchronization module is combined at the module level with the digital voice signal processor that is provided for processing the voice data.
- 12. (New) The broadband network access device of claim 10, wherein the synchronization interface module is embodied as a device for performing soft synchronization between the data clock pattern and the voice data pattern.
- 13. (New) The broadband network access device of claim 10, wherein the data clock base is 4.3125 kHz and the voice clock base is 8 kHz.
- 14. (New) The broadband network access device of claim 10, wherein the broadband network access device is used for implementing a xDSL (x-Digital Subscriber Line) system.

## REMARKS

Entry of this Preliminary Amendment prior to the examination of the above-identified International Application, United States National Phase, on the merits is respectfully requested. No new matter has been added by the Preliminary Amendment. Early and favorable consideration of this application is requested.

Respectfully submitted,

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Description

Broadband network access device for the transmission of voice and data

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The invention relates to a broadband network access device for the transmission of voice and data, having more broadband subscriber line circuits, parallel with one another, for connecting to analog telephone subscriber lines, having a device which splits narrowband, low-frequency voice signals and broadband, higher-frequency data signals in both directions of transmission and which also converts the voice and data signals into the digital form in the direction of the network by sampling and into the analog form in the opposite direction, and having a signal processor which is provided processing the voice signal data and which is connected to a digital voice network, and a digital signal processor which is provided for processing the data signal data and is connected to a digital data network.

The transmission of voice via an analog subscriber line in a telephone network is carried out in analog form. 25 It is done using a frequency band, what is referred to as the voice band, which constitutes only a relatively frequency band of the entire transmission bandwidth of a copper double conductor (POTS = Plain Old Telephone System). In what are referred to as xDSL (x-Digital Subscriber Line) transmission methods, the 30 frequency ranges above the voice band are also used for data transmission in a broadband fashion in a telephone network. xDSL transmission methods include HDSL (High Bit Rate Digital Subscriber Line), ADSL (Asymmetric 35. Digital Subscriber Line) and VDSL (Very High Speed Digital Subscriber Line). The xDSL transmission methods are referred to as broadband network access technology and comprise all the transmission methods via

telephone network which make possible a higher data transmission rate between a subscriber and the central office (CO) than the data transmission rate which can be achieved with voice band modems. To do this, in the xDSL transmission methods, what is referred to as an xDSL data signal, which designates a digital signal which is encoded for the xDSL transmission method, is transmitted in a higher frequency band, the data band, which is separated from the voice band. The xDSL transmission methods can theoretically use the entire bandwidth of the copper double conductor available above the voice band and achieve data transmission rates in the Mbit/s range.

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When designing a broadband network access device for such a voice signal/data signal transmission method it is to be noted that the clock pattern which is customary for the transmission of voice is normally based on multiples of the voice bandwidth of 4 kHz, which gives rise to a data clock base of 8 kHz. The latter is not suitable for the transmission of data because in the DMT method used here the sampling rate is obtained as a multiple of the distance between two subcarriers of 4.3125 kHz, which is referred to below as the data clock base.

The invention is based on the object of constructing and operating a common broadband network access device for the transmission of voice and data in such a way that the sampling rates and clock systems which are predefined for the data transmission range and the voice transmission range are combined in a fully operationally capable fashion.

35 According to the invention, which relates to a broadband network access device for the transmission of voice and data of the type mentioned at the beginning, this object is achieved in that the voice signals are sampled in the direction of transmission to the voice

network with a multiple of the data clock base and are subsequently decimated, in that the data which has already been decimated to the voice clock is still transmitted in the data clock pattern to the digital signal processor provided for processing the voice signals, in that the conversion to the voice data pattern is carried out in a synchronization interface digital signal module directly upstream of the processor which is provided for processing the voice the opposite that the sampling in data, and in 10 direction of transmission takes place in a functionally specified The fashion. corresponding successfully combine the two different sampling rates clock systems, the user very easily obtaining synchronization between his data path and his voice 15 path and a complex, external synchronization process thus being avoided.

The synchronization interface module is expediently combined at the module level with the actual digital signal processor which is provided for processing the voice data.

In the broadband network access device according to the invention, the synchronization interface module is expediently embodied as a device for performing soft synchronization between the data clock pattern and the voice data pattern.

- The broadband network access device according to the invention can advantageously be used for implementing an xDSL (x-Digital Subscriber Line) system, for example an ADSL (Asymmetric Digital Subscriber Line) system.
- 35 The broadband network access device according to the invention is particularly expediently embodied using integrated circuit technology. With such technology, inter alia, the device which splits the voice and data signals in both directions of transmission and which

also converts the voice and data signals in the digital form in the direction of the network by sampling and into the analog form in the opposite direction, the digital signal processor which processes the digital voice signals, the digital signal processor which processes the digital data signals and a phase locked loop PLL which is provided for generating the clock and has a quartz-stabilized, controlled oscillator can each be formed by a separate integrated circuit module in which case the digital 10 one chip set, in processors can be composed, depending on the size requirements, of a plurality of subunits which can each be integrated at the module level. The phase locked loop PLL which is provided for generating the clock and quartz-stabilized, controlled 15 equipped with a oscillator can be combined here with the digital signal processor which processes the digital voice signals, in a single integrated circuit module.

- 20 The invention is explained below with reference to block circuit diagrams which are represented in two figures, of which:
- FIG. 1 shows a block circuit diagram of that part of a
  25 broadband network access device according to
  the invention which is responsible for the
  combination of the different sampling rates,
  and
- 30 FIG. 2 shows a detailed excerpt from the block circuit diagram in FIG. 1.

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Subscriber line interface circuits (not illustrated in FIG. 1) which are assigned to analog bidirectional telephone subscriber lines and via which analog voice and data signals are transmitted in both directions of transmission are provided within the scope of an ADSL (Asymmetric Digital Subscriber Line) system. These subscriber line interface circuits are connected in a

bidirectionally effective fashion to a device B-QAP (Broadband-Quad Analog POTS), which splits the narrowband, low-frequency voice signals and the broadband, higher-frequency data signals in both directions of transmission and, integrated in this way, converts the voice and data signals into signals in the one direction of transmission and into analog signals in the opposite direction transmission.

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The digitized and decimated voice signal is then fed to. digital signal processor. **B-MUPP** (Broadband Multichannel Processor for POTS) for processing voice signals, while the digitized data 15 signal is fed via data lines DDU-0 (DDU = Digital Data Upstream) and DDU-1 to two digital signal processors DSP-1 which are configured, cooperation, as a data pump for processing digital signals. The voice data which is processed in 20 digital signal processor B-MUPP is then input, example in PCM form, into a correspondingly configured, digital voice network.

In a similar way, the data signals which are processed 25 in the two digital signal processors DSP-0 and DSP-1 are then fed, for example as ATM signals, correspondingly configured, digital data network. digital voice network and the digital data network connect corresponding central offices to one another. 30 In the opposite direction of transmission, the digital signal processor B-MuPP and the two digital processors DSP-0 and DSP-1 receive a digital voice signal and a digital data signal from the digital voice network and from the digital data network, 35 respectively.

The digital voice signal which is received from the digital voice network is fed to the device B-QAP by the digital voice signal processor B-MuPP. The digital data

signal which is received from the digital data network is fed by the digital data signal processor DSP-0 and the lines DDD-0 and DDD-1 DSP-1, via data (DDD = Digital Data Downstream), to the device B-QAP converts all the digital signals in direction of transmission into analog signals and in which the narrowband, low-frequency voice signal and the broadband, higher-frequency data signal are also combined to form an analog composite signal, which is subscribers via subscriber the the interface circuits and the subscriber lines.

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The connection to the voice network is established via an IOM/PCM interface IOM/PCM. At said voice network, the sampling frequency is 4.096 MHz. Using a phase loop PLL which is equipped with a quartzlocked stabilized oscillator VCXO DCXO which or and supplied with the sampling frequency of 4.096 MHz of the IOM/PCM voice network, a frequency of 35.328 MHz is generated, which is a multiple (8192 times) of the data clock base of 4.3125 kHz on which the data sampling is based according to standard practice.

The device B-QAP, the digital signal processor B-MuPP which is responsible for voice and the two digital 25 signal processors DSP-0 and DSP-1 which are responsible for the data are supplied with this frequency of 35.328 MHz. Between the device B-QAP and the two digital signal processors DSP-0 and DSP-1 there dedicated data interfaces via the data 30 DDU-0/DDD-0 and DDU-1/DDD-1.

The synchronization of the two digital signal processors DSP-0 and DSP-1 for the processing and transmission of the digital data signals is carried out via the line DFSC (Data Frame Synchronization). Control information is also necessary for the sequence control of the sampling in the device B-QAP. For this purpose, an interface is defined between the sampling device

B-QAP and the digital signal processor B-MuPP which is responsible for the voice signal processing.

This interface, which is also contained in the circuit module which contains the actual digital signal processor B-MuPP, is used both for transmitting control and check data (AFSC = Analog Frame Synchronization) and the voice signal itself. As FIG. 2 shows, the voice data is sampled in the device B-QAP with 17.664 MHz, that is to say with a multiple (4096 times) of the data clock base of 4.3125 kHz and subsequently decimated.

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The transmission of the voice data, already decimated voice clock, to the digital voice to the processor B-MuPP is still carried out in the data clock 15 pattern, that is to say at 17.664 MHz. The conversion to the voice pattern of 16.384 MHz, on which the voice basic sampling frequency of 8 kHz is based and which is a multiple (2048 times) of this voice basic sampling frequency, takes place in an interface in an interface 20 which is located in the voice module SM, processor module B-MuPP, connected directly upstream of the actual digital voice signal processor DSP. synchronization interface module SM is embodied as a device for soft synchronization (soft synchro) between 25 the data clock pattern and the voice data pattern. The sampling in the opposite direction of transmission is carried out in a functionally corresponding fashion.

The broadband network access device illustrated 30 and 2 is embodied using integrated circuit technology, specifically in one chip set. This chip set contains, inter alia, the device B-QAP which splits the in both directions data signals and transmission and in which, however, the voice and data 35 signals are also converted into the digital form in the direction of the network by sampling, and in opposite direction into the analog form.

Furthermore, the chip set includes the digital signal processor B-MuPP which processes the digital voice signals, including the interface module SM, the digital signal processors DSP-0, DSP-1 which process the digital data signals and the phase locked loop PLL which is provided for generating the clock and has a quartz-stabilized, controlled oscillator, each of these in the form of integrated circuit modules.

In the embodiment of a broadband network access device described with reference to FIG. 1 and 2, the user very easily obtains synchronization between his data path and his signal path, and a complex, external synchronization process is avoided.

### Patent Claims

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- device broadband network access for the 1. transmission of voice and data, having one or more 5 broadband subscriber line interface circuits, one another, for connecting to with parallel analog telephone subscriber lines, having a device narrowband, low-frequency splits voice broadband, higher-frequency signals and data 10 signals in both directions of transmission which also converts the voice and data signals into the digital form in the direction of the network by sampling, and into the analog form in digital' opposite direction, and having а signal processor which is provided for processing 15 the voice signal data and which is connected to a digital voice network, and a digital processor which is provided for processing the data signal data and is connected to a digital 20 network, wherein the voice signals sampled in the direction of transmission to the voice network with a multiple of the data clock base and are subsequently decimated, wherein the data which has already been decimated to the voice still transmitted in the data clock 25 clock is pattern to the digital signal processor (B-MuPP) provided for processing the voice signals, wherein voice data pattern the conversion to the carried out in a synchronization interface module directly upstream of the actual 30 signal processor (DSP) which is provided for wherein voice and the processing the data, sampling in the opposite direction of transmission takes place in a functionally corresponding way.
  - 2. The broadband network access device as claimed in claim 1, wherein the synchronization interface module (SM) is combined at the module level with

the actual digital signal processor (DSP) which is provided for processing the voice data.

- 3. The broadband network access device as claimed in claim 1 or 2, wherein the synchronization interface module (SM) is embodied as a device for performing soft synchronization between the data clock pattern and the voice data pattern.
- 10 4. The broadband network access device as claimed in one of the preceding claims, distinguished by a data signal sampling rate which is a multiple of 4.3125 kHz (= data clock base) and a voice signal sampling rate which is a multiple of 8 kHz (= voice clock base).
  - 5. The broadband network access device as claimed in one of the preceding claims, characterized by a use for implementing an xDSL (x-Digital Subscriber Line) system, for example an ADSL (Asymmetric Digital Subscriber Line) system.

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- 6. The broadband network access device as claimed in one of the preceding claims, characterized by an embodiment in integrated circuit technology.
- The broadband network access device as claimed in 7. claim 7, wherein, inter alia, the device (B-QAP) which splits the voice and data signals in both directions of transmission and which also converts 30 the voice and data signals in the direction of the network by sampling in a digital form and in an analog form in the opposite direction, the digital which processes the (B-MuPP) signal processor digital voice signals, including the interface 35 module (SM), the digital signal processor (DSP-0) which processes the digital data signals, and a phase locked loop (PLL) which is provided for generating the clock and has a quartz-stabilized,

controlled oscillator are each formed by a separate integrated circuit module in one chip set.

- 5 8. The broadband network access device as claimed in one of the preceding claims, wherein the digital signal processors (DSP, DSP-0, DSP-1) are each composed depending on the size requirements of a plurality of subunits which can each be integrated at the module level.
- 9. The broadband network access device as claimed in claim 7, wherein the phase locked loop (PLL) which is provided for generating the clock and is equipped with a quartz-stabilized, controlled oscillator is combined with the digital signal processor (B-MuPP) which processes the digital voice signals, in a single integrated circuit module.

#### Abstract

Broadband network access device for the transmission of voice and data

In the broadband network access device for transmitting narrowband, low-frequency voice signals and broadband, higher-frequency data signals, the voice data is sampled in the data clock pattern and subsequently decimated. The transmission of the data which has already been decimated to the voice clock to the voice DSP (DSP) is still carried out in the data clock pattern. The conversion to the voice clock pattern is carried out in a synchronization interface (SM), directly upstream of the voice DSP. The same applies correspondingly in the opposite direction of transmission. The invention is used in xDSL methods, for example ADSL.Lite.

FIG. 2

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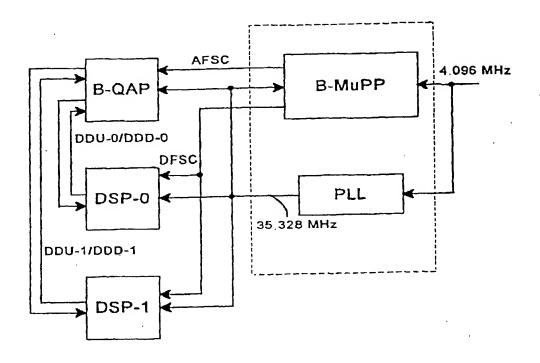


FIG. 1

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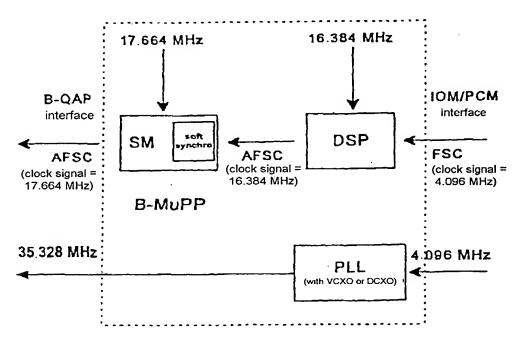


FIG. 2

## **DECLARATION**

Attorney Docket No. 8074-7 (S1656 GC/vat)

AS A BELOW NAMED INVENTOR, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe that I am the original, first and sole (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below), of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE: BRO	OADRAND NETWOR	K ACCESS DEVICE	FOR THE TRANSMIS	SSION OF VOICE AND DATA

the specification of which either is attached hereto or indicates an attorney Docket No. 8074-7 (S1656 GC/rfu), or

was filed in the U.S. Patent & Trademark Office on <u>January 15, 2002</u> and assigned Serial No. <u>10/031,058</u>,

and (if applicable) was amende	d on			· · ·
I hereby state that I have reas amended by any amendment repatentability and to the examination. I hereby claim foreign priority benefit or inventor's certificate, or '365(a). United States, listed below and have filing date before that of the applic	eferred to above. I acknowle n of this application in accordance of this application in accordance of any PCT international application identified below any force	nce with Title 37 of the Code of F'119(a)-(d) or '365(b) of any foreign ication which designated at least origin applications for patent or investign applications.	tion which is rederal Regulation(some country oth	material to ions '1.56 ) for paten er than the
10000004.0		15 7 1 2001		Claimed:
- 19933264.9 (Application Number)	Germany (Country)	15 July 2001 (Day/Month/Year filed)	Yes [X]	No [ ]
(присшон татьет)	(Country)	(Day/Monin/Tear Juea)	Yes [ ]	No[]
(Application Number)	(Country)	(Day/Month/Year filed)		
to disclose information material to pavailable between the filing date of  PCT/DE00/02296  (Application Serial Number)			date of this ap	
(Application Serial Number)	(Filing Date)	(STATUS: patented, pending, abo	andoned)	

Area Code: 516-357-0091

statements and the like so made are punishable by fine or imprisonment, or both, under '1001 of Title 18 U.S. Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. 1-10 FULL NAME OF FIRST OR SOLE INVENTOR: **Christian PANIS** Citizenship AUSTRIA Date: 6.4.02 Residence & Post Office Address Gatterederstr/ 8/3/11 A-1230 Wien 2.00 FULL NAME OF SECOND INVENTOR: Christian SCHRANZ Citizenship AUSTRIA Date: 12.4.02 .- Inventor's signature: Residence & Post Office Address: Uhlandstr. 21/3/2 A-9500 Villach 7-00 FULL NAME OF THIRD INVENTOR: Citizenship AUSTRIA Date: 01.05.02 Inventor's signature: Residence & Post Office Address: Franz-Krainer-Str. 96 A-9500 Villach 4-00 FULL NAME OF FOURTH INVENTOR: Citizenship AUSTRIA Date: 11.4.02 Inventor's signature: Residence & Post Office Address: Woisetschlägerweg 4

A-9020 Klagenflurt

I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false